

BAKER & BOTTS, L.L.P.  
30 ROCKEFELLER PLAZA  
NEW YORK, NEW YORK 10112-0228

212 705-5000  
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Appln. Trans.  
PATENT

A

UTILITY PATENT  
APPLICATION  
TRANSMITTAL

(Only for new nonprovisional  
applications under 37 CFR 1.53(b))

Attorney Docket No. AP31569

First Named Inventor Hari Kalva

Express Mail Label No. EJ 535 266 016 US

JAN 25 U.S. PTO  
09/240509

01/29/99

January 29, 1999

**BY EXPRESS MAIL**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Hari Kalva, New York, New York and Alexandros Eleftheriadis, New York, New York entitled METHOD AND SYSTEM FOR CLIENT-SERVER INTERACTION IN INTERACTIVE COMMUNICATIONS which includes:

☒ Specification                      \_\_\_ Total Pages  
☒ Claims                                \_\_\_ Total Pages  
☒ Abstract                               \_\_\_ Total Pages  
☒ Drawing(s)                            \_\_\_ Total Sheets

☐ Combined Declaration and Power of Attorney                      \_\_\_ Total Pages

☐ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

Priority

☒ Priority is claimed of U.S. Provisional Application No. 60/073,203, filed January 30, 1998.

If a continuing application, check appropriate box:

☐ Continuation                      ☐ Divisional                      ☐ Continuation-In-Part (CIP)  
of prior application No. \_\_\_

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a                      ☐ continuation                      ☐ divisional                      ☐ continuation-in-part  
of copending application Serial No. \_ filed \_."

Attorney Docket No. AP31569

- ☒ An Assignment of the invention to The Trustees of Columbia University in the City of New York  
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  
☒ will follow.  
☐ has been filed in the prior application

☒ Small Entity Statement(s)

☒ Small Entity Statement filed in prior application No. 60/073,203, filed January 30, 1997. Status still proper and desired.

☐ Information Disclosure Statement (IDS) PTO-1449

☐ Copies of IDS Citations.

☐ Preliminary Amendment

☒ Return Receipt Postcard

☐ Other \_

☐ Cancel in this application original claims \_ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) <u>No. Filed</u>	(Col. 2) <u>No. Extra</u>	Small Entity <u>Rate</u>	<u>Fee</u>	OR	Other Than A Small Entity <u>Rate</u>	<u>Fee</u>
Basic Fee							
Total Claims	-20	=	x \$9 =	\$		x \$18 =	
Ind. Claims	-3	=	x \$39 =	\$		x \$78 =	
Multiple Dependent Claim			+ \$130 =	\$		+\$260 =	
			Total	—			—

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

☒ Enclosed

☐ Basic filing fee

☐ Recording Assignment  
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed

☒ A check in the amount of \$380.00 to cover the filing fee is enclosed.

Attorney Docket No. AP31569

- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER & BOTTS, L.L.P.

By Peter A. Businger  
Peter A. Businger  
PTO Registration No. 27,862

Enclosures